# Approximation and Online Algorithms for Generalized Interval Coloring Problems

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- The INTERVAL COLORING problem and its variants
- Survey of existing results
- Our contributions
- Approximation algorithms for INTERVAL COLORING
- Online algorithms for INTERVAL COLORING
- Conclusion and future work

#### The INTERVAL COLORING problem

- Given a path  $P = (v_1, e_1, v_2, e_2, ..., e_{n-1}, v_n)$  on n nodes.
- Edge  $e_i$  has capacity  $c(e_i) \equiv c_i$ .
- There are k intervals (requests)  $I_1, \ldots, I_k$ .
- $I_i = [s_i, t_i]$  and there is a demand  $d_i$  associated with it.
- A set of intervals  $\mathcal{I}$  is *feasible* if the total demand of all intervals in  $\mathcal{I}$  passing through any edge e does not exceed it's capacity c(e).
- Goal is to partition the requests  $I_1, \ldots, I_k$  into a number of sets such that each set is feasible and the total number of sets is minimized.
- We can think of this as assigning colors to intervals so that each color class is feasible and we want to minimize the number of colors.
- This can also be thought of as routing the requests in a feasible manner in a number of rounds.
- Can be studied under offline or online setting.

#### A sample INTERVAL COLORING instance



- The path graph is a natural setting for many applications, where a limited resource is available and the amount of the resource varies over time.
- Many combinatorial optimization problems which are NP-HARD on general graphs remain NP-HARD on paths.
- We can represent time instants as vertices, time intervals as edges and the amount of resource available at a time interval as the capacity of the corresponding edge.
- The requirement of a resource between two time instants can be represented as a demand between the corresponding vertices with a certain profit associated with it.

- Consider an optical line network, where each color corresponds to a distinct frequency in which the information flows.
- Different links along the line have different capacities, which are a function of intermediate equipment along the link.
- Each request uses the same bandwidth on all links that this request contains.
- As the number of distinct available frequencies is limited, minimizing the number of colors for a given sequence of requests is a natural objective.

6 / 28

# Related work for $\ensuremath{\operatorname{INTERVAL}}$ Coloring

- INTERVAL COLORING is NP-HARD for arbitrary demands since, if we take P to be a single edge, this is the BIN PACKING problem.
- If all capacities and demands are 1, this is the INTERVAL GRAPH COLORING problem, for which a greedy algorithm gives the optimum coloring with  $\omega$  colors, where  $\omega$  is the maximum clique size of the *interval graph*.
- For the corresponding online problem, Kierstead and Trotter gave an online algorithm which uses at most  $3\omega 2$  colors. They also gave a lower bound of  $3\omega 2$  on the number of colors required in any coloring output by any deterministic online algorithm.
- Leonardi and Vitaletti showed that no randomized algorithm for online coloring of interval graphs can achieve a competitive ratio strictly better than  $3\omega 2$ .

# Related work for INTERVAL COLORING $\ldots$

- The best upper bound known for the FIRST-FIT algorithm is  $8\omega$  by Pemmaraju et al., and a lower bound of  $4.4\omega$  was shown by Chrobak and Slusarek.
- For unit capacities and arbitrary demands, Narayanaswamy gave a 10-competitive algorithm. Epstein et al. proved a lower bound of  $\frac{24}{7} \approx 3.43$  for this problem.
- For arbitrary capacities and demands, Epstein et al. gave a 78-competitive algorithm, assuming that the maximum demand is at most the minimum capacity (*no-bottleneck assumption*).
- They also proved that without this assumption, there is no deterministic online algorithm for interval coloring with nonuniform capacities and demands, that can achieve a competitive ratio better than  $\Omega(\log \log n)$  or  $\Omega\left(\log \log \log \left(\frac{c_{\max}}{c_{\min}}\right)\right)$ . Here,  $c_{\max}$  and  $c_{\min}$  are the maximum and minimum edge capacities of the path respectively.

- It is easy to construct a set of intervals on a binary tree requiring at least  $\frac{3L}{2}$  colors, where L is the maximum load on any edge.
- Raghavan and Upfal gave an algorithm to color any set of paths of maximum load L on a tree using at most  $\frac{3L}{2}$  colors.
- Bartal and Leonardi gave an  $O(\log n)$ -competitive algorithm for the special case when  $d_i = 1, 1 \le i \le k$  and  $c_e = 1, e \in E$ , *i.e.*, when all capacities and demands are one.
- They also proved that any deterministic online algorithm for trees cannot have competitive ratio better than  $\Omega\left(\frac{\log n}{\log \log n}\right)$ .
- Leonardi and Vitaletti showed that for trees of diameter  $\Delta = O(\log n)$ , no randomized algorithm for online coloring can achieve a competitive ratio better than  $\Omega(\log \Delta)$ .

#### Lower bound example on trees



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For paths:

- Optimal algorithm for unit demands, arbitrary capacities.
- 3-approximation algorithm for uniform capacities, arbitrary demands.
- 24-approximation algorithm for arbitrary capacities and arbitrary demands with NBA.
- 58-competitive online algorithm with NBA.

For trees:

- 64-approximation algorithm with NBA.
- $O(\log n)$ -competitive online algorithm for uniform capacities and arbitrary demands.

		Tree		
	Unit demand	Unit capacity	Arbitrary	
Offline	OPTIMAL	3	24	64
Online	NONE	10	58	$O(\log n)$

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2

### Preliminaries

- $F_e = \text{Set of all requests passing through edge } e$ .
- $l_e$  = Total demand of all requests passing through  $e = \sum_{i:I_i \in F_e} d_i$ , is the *load* on edge e.
- $r_e = \left\lceil \frac{l_e}{c_e} \right\rceil$ , is the *congestion* on edge *e*.
- $r = \max_{e \in E} r_e$ , is the maximum congestion on any edge.
- Let OPT be the minimum number of colors required for the given problem instance. Clearly,  $OPT \ge r$ .
- If ω demands are mutually incompatible with each other, then each of them has to be assigned a different color. Hence, OPT ≥ ω.
- The *bottleneck edge*  $b_i$  of a request  $I_i$  is the minimum capacity edge on the path from  $s_i$  to  $t_i$ . We denote the capacity of bottleneck edge also by  $b_i$ .

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# Approximation algorithms for INTERVAL COLORING with arbitrary capacities and demands

- Separate the requests based on whether  $d_i > \frac{1}{4}b_i$  (large demands) or  $d_i \le \frac{1}{4}b_i$  (small demands), where  $b_i$  is the bottleneck edge capacity.
- We sort the small demands based on their left endpoints and then assign a demand to the first color, where the total load on the bottleneck edge e (excluding this demand) is at most <sup>ce</sup>/<sub>16</sub>.
- It can be proven that this requires at most 16r colors and the coloring is feasible.

- For large demands, round down capacity of every edge to the nearest multiple of  $c_{\min}$ .
- This will increase the congestion r by a factor of 2.
- Round up every demand to  $c_{\min}.$  Note that for any large demand,  $d_i>\frac{1}{4}b_i\geq \frac{1}{4}c_{\min}.$
- Moreover,  $d_i \leq c_{\min}$  because of NBA.
- This will increase the congestion r by a factor of 4.
- The resulting instance has uniform demands, which can be colored with *r* colors. So, large demands require 8r colors.
- In total, we require at most  $24r \leq 24 \cdot \text{OPT}$  colors.

- We scale down all capacities and demands by a factor of  $c_{\min}$ , so that the new  $c_{\min} = 1$  and the new  $d_{\max} \le 1$ .
- Then, we round down all edge capacities to the nearest power of 2, so that if  $c(e) \in [2^k, 2^{k+1})$  then the new  $c(e) = 2^k$ .
- The *class* of a demand  $d_i$  is defined as  $\ell_i = \log_2 c(b_i)$ .
- For a demand  $d_i$  in class  $j \ge 1$ , we call it a small demand if  $d_i \le \min(1, 2^{j-3})$ .
- For a demand  $d_i$  in class 0, we call it a small demand if  $d_i \leq \frac{1}{4}$ .
- Note that large demands can exist only in classes 0, 1 and 2.

Class	Small	Large	Bottleneck capacity	Allocated capacity
0	$(0, \frac{1}{4}]$	$\left[ \left( \frac{1}{4}, 1 \right] \right]$	1	1
1	$\left[ \left( 0, \frac{1}{4} \right] \right]$	$\left[ \left( \frac{1}{4}, 1 \right] \right]$	2	1
2	$\left[ \left( 0, \frac{1}{2} \right] \right]$	$\left[ \left( \frac{1}{2}, 1 \right] \right]$	4	2
3	$(0,  ilde{1}]$	NONE	8	4
:	:	:		
j	(0,1]	NONE	$2^j$	$2^{j-1}$

- Small demands are  $\frac{1}{4}$ -small.
- The resulting instance has uniform capacity.
- 4-competitive algorithm for this.
- Additional loss of a factor of 8 due to rounding and allocating only  $2^{j-1}$  capacity instead of  $2^j$ .
- So this is 32-competitive.

# Algorithm for small demands and uniform capacity

- Our algorithm partitions intervals into disjoint sets and colors each set independently with separate colors.
- $S = \{S_1, S_2, \ldots\}$  is the family of sets containing already processed requests.
- $S_i$  is the set of requests at *level i*.
- For each new request R, we look for a set with the lowest possible index k such that the total load of all the demands in  $\left(\bigcup_{i=1}^{k} S_{i}\right) \cup \{R\}$  on any edge e of R does not exceed  $\frac{1}{4}kc$ .
- If on any edge e this inequality is violated, we call e a *critical edge* of R on that level.
- Note that e is the edge which prevented R to be put on level k.

```
k \leftarrow 1:
while there are still requests in the input do
    let R be the next request;
    while for any edge e \in R, l_e\left(\left(\bigcup_{i=1}^k S_i\right) \cup \{R\}\right) > \frac{1}{4}kc do
        // e is called a critical edge of R on level k.
        k \leftarrow k + 1:
    end
    S_k \leftarrow S_k \cup \{R\};
    give R the lowest numbered color not used in any sets S_1, \ldots, S_{k-1}
    and consistent with S_k;
end
```

- Small demands require at most  $32 \cdot OPT$  colors.
- $\bullet$  Large demands in classes 0, 1 and 2 require at most  $26\cdot OPT$  colors.
- Total number of colors required is at most  $58 \cdot OPT$ .
- Hence, this algorithm is 58-competitive.

- Given a tree with n vertices, we can find a vertex r, whose removal partitions the vertices into disconnected components, each of which has size at most  $\frac{n}{2}$ .
- We call such a vertex a vertex separator.
- We can divide each of these components further in a similar manner recursively.
- The vertex set V will thus be partitioned into classes  $V_1 = \{r\}, V_2, \ldots, V_{\log n}$
- The vertices in  $V_i$  are called *level* i vertex separators.
- Request R is called a *level i request*, if i is the minimum level of any vertex in the interval I of R

- Alternatively, we can also classify the requests based on the *least* common ancestor of the endpoints of a request R, LCA(s, t).
- A (balanced) binary tree has height  $O(\log n)$ .
- A request is on *level* i, if LCA(s, t) is on *level* i.
- Note that a request can be on only one level.

23 / 28

- We allocate separate colors for requests on different levels.
- When a request on any level comes, we use FIRST-FIT to assign it to the lowest available color, while maintaining feasibility.
- For requests on a particular level, FIRST-FIT is 2-competitive.
- For binary trees with n vertices, our algorithm is  $(2 \log n)$ -competitive.
- For *b*-ary trees, this will give a  $(b \log n)$ -competitive algorithm.

#### How bad the congestion bound can be?



$$OPT = n, r = 2, \omega = n.$$

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3

- In this talk, we presented several algorithms for solving various instances of the INTERVAL COLORING problem.
- We saw that some special cases of this problem can have much better algorithms.
- We gave a constant factor competitive algorithm for paths and an  $O(\log n)$ -competitive algorithm for trees for the ONLINE INTERVAL COLORING problem.

- Is there a unified algorithm for INTERVAL COLORING for all cases?
- Can we improve the approximation factor of the INTERVAL COLORING problem on paths and trees?
- What is the approximability of these problems without the *no-bottleneck assumption*?
- Is there a better constant factor competitive algorithm for the ONLINE INTERVAL COLORING problem on paths?
- What is the hardness of approximation of these problems?
- What is the lower bound on the competitive ratio of online algorithms?

# Questions?



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3